



Consulting and Training | Reach New Heights

**Course Name**

# Banking and Finance Law - Entry to Mid

---

**Sector Name**

Legal and Corporate Law

**Document Type**

Generated by Boostlab

[Click Here To Visit Course](#)

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770



## Banking and Finance Law - Entry to Mid

### Course Introduction

Banking and finance law governs the legal framework within which financial institutions operate, transactions are executed, and financial risks are managed. Understanding these laws is essential not only for legal professionals, but also for those involved in banking operations, finance, compliance, and risk management.

Developed by **Boost**, this course is designed to provide participants with a practical understanding of banking and finance law as applied in real business and institutional environments. The program focuses on legal principles governing banking activities, financial transactions, credit facilities, regulatory compliance, and risk exposure—without turning the course into a purely academic legal study.

### **Boost's Banking and Finance Law - Entry to Mid-course**

equips participants with the knowledge needed to recognize legal implications, manage regulatory requirements, and support compliant financial operations

## Banking and Finance Law - Entry to Mid

### Target Audience

- ✓ Banking and finance professionals
- ✓ Legal and compliance officers
- ✓ Risk management and internal control staff
- ✓ Finance and treasury personnel
- ✓ Professionals dealing with financial contracts and transactions

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770

[Click Here To Visit Course](#)



## Banking and Finance Law - Entry to Mid

### Learning Objectives

- ✓ Understand the legal framework governing banking and financial activities
- ✓ Identify key laws and regulations affecting financial institutions
- ✓ Recognize legal risks associated with banking and finance transactions
- ✓ Understand contractual structures used in financial dealings
- ✓ Support compliance and risk management functions
- ✓ Apply legal awareness to daily banking and finance operations

ABU DHABI: +971 2 449 6000  
ABU DHABI: +971 50 412 3294  
DUBAI: +971 4 888 6787  
KSA: +966 56 416 0617  
EGYPT: +20 127 111 1770

[Click Here To Visit Course](#)



## Banking and Finance Law - Entry to Mid

### Course Outline

#### ✓ DAY 01

##### **Introduction to Banking and Finance Law**

##### **Module 1: Legal Foundations of Banking and Finance**

- ✓ Role of law in financial systems
- ✓ Relationship between banking, finance, and regulation
- ✓ Key legal principles governing financial activities

##### **Module 2: Regulatory Environment for Financial Institutions**

- ✓ Overview of banking regulators
- ✓ Licensing and regulatory oversight
- ✓ Regulatory expectations and enforcement

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770

[Click Here To vist Course](#)



## Banking and Finance Law - Entry to Mid

### Course Outline

#### ✓ Day 02

##### **Banking Operations and Legal Obligations**

##### **Module 3: Legal Aspects of Banking Services**

- ✓ Deposits, accounts, and payment services
- ✓ Customer rights and bank obligations
- ✓ Confidentiality and data protection principles

##### **Module 4: Compliance and Conduct in Banking**

- ✓ Legal duties toward customers
- ✓ Anti-misconduct and ethical requirements
- ✓ Managing legal exposure in operations

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770

[Click Here To vist Course](#)



## Banking and Finance Law - Entry to Mid

### Course Outline

#### ✓ Day 03

##### **Finance Transactions and Credit Structures**

##### **Module 5: Legal Framework of Lending and Credit**

- ✓ Loan agreements and credit facilities
- ✓ Security, guarantees, and collateral
- ✓ Rights and obligations of parties

##### **Module 6: Managing Legal Risk in Financial Transactions**

- ✓ Common legal risks in financing deals
- ✓ Contractual risk mitigation
- ✓ Role of documentation and approvals

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770

[Click Here To vist Course](#)



## Banking and Finance Law - Entry to Mid

### Course Outline

#### ✓ Day 04

##### **Financial Markets and Risk Considerations**

##### **Module 7: Legal Aspects of Financial Markets**

- ✓ Capital markets and financial instruments
- ✓ Market conduct and disclosure principles
- ✓ Regulatory controls and compliance

##### **Module 8: Risk, Liability, and Enforcement**

- ✓ Legal consequences of non-compliance
- ✓ Dispute resolution in banking and finance

Regulatory investigations and sanctions

ABU DHABI: +971 2 449 6000  
ABU DHABI: +971 50 412 3294  
DUBAI: +971 4 888 6787  
KSA: +966 56 416 0617  
EGYPT: +20 127 111 1770

[Click Here To vist Course](#)



## Banking and Finance Law - Entry to Mid

### Course Outline

#### ✓ Day 05

##### **Governance, Compliance, and Practical Application**

##### **Module 9: Governance and Legal Oversight in Financial Institutions**

- ✓ Board and management responsibilities
- ✓ Internal controls and reporting
- ✓ Role of legal and compliance functions

##### **Module 10: Applying Banking and Finance Law in Practice**

- ✓ Identifying legal red flags
- ✓ Supporting compliant decision-making
- ✓ Strengthening legal awareness across teams

ABU DHABI: +971 2 449 6000

ABU DHABI: +971 50 412 3294

DUBAI: +971 4 888 6787

KSA: +966 56 416 0617

EGYPT: +20 127 111 1770

[Click Here To vist Course](#)



## Banking and Finance Law - Entry to Mid

### Confirmed Sessions

| FROM          | TO            | DURATION | FEES       | LOCATION         |
|---------------|---------------|----------|------------|------------------|
| May 3, 2027   | May 7, 2027   | 5 days   | 2150.00 \$ | Virtual , Online |
| Aug. 22, 2027 | Aug. 26, 2027 | 5 days   | 4250.00 \$ | KSA , Dammam     |
| Oct. 11, 2027 | Oct. 15, 2027 | 5 days   | 4250.00 \$ | UAE , Dubai      |
| Jan. 25, 2027 | Jan. 29, 2027 | 5 days   | 5950.00 \$ | USA , Texas      |

ABU DHABI: +971 2 449 6000  
ABU DHABI: +971 50 412 3294  
DUBAI: +971 4 888 6787  
KSA: +966 56 416 0617  
EGYPT: +20 127 111 1770

[Click Here To vist Course](#)

[info@boostuae.com](mailto:info@boostuae.com)   [info@boostorg.com](mailto:info@boostorg.com)

Generated by BoostLab •

