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Course Name

Certificate in Governance for Regulators

Sector Name

Audit, Risk and Governance

Document Type

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Certificate in Governance for Regulators

Course Introduction

Regulatory bodies play a critical role in protecting the public interest, ensuring market integrity, enforcing compliance, and promoting transparency and stability across industries. Effective governance within regulatory institutions is essential to ensure accountability, independence, ethical decision-making, and the consistent application of regulatory frameworks. As regulatory environments evolve and stakeholder expectations increase, regulators must strengthen governance structures, oversight mechanisms, and performance monitoring practices to achieve their mandates efficiently and credibly.

To address these needs, **BOOST** Consulting & Training has developed the Certificate in Governance for Regulators program to provide professionals working in regulatory authorities with advanced governance knowledge and practical tools to enhance regulatory oversight and institutional performance. This program focuses on how governance principles apply specifically to regulatory institutions operating in complex political, economic, and legal environments.

The course explores regulatory governance frameworks, policy and decision-making processes, risk-based supervision, regulatory

accountability, stakeholder engagement, and integrity systems. Participants will examine international good practices and learn how to apply structured governance mechanisms that enhance transparency, credibility, and effectiveness.

Through case discussions, governance models, and applied exercises, participants will gain the skills to strengthen institutional controls, improve oversight quality, and support continuous governance improvement within regulatory organizations.

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Target Audience

- ✓ Senior and mid-level staff in regulatory authorities
- ✓ Governance, compliance, and internal control professionals within regulators
- ✓ Policy development and regulatory affairs officers
- ✓ Risk management and supervision professionals
- ✓ Internal audit and inspection units within regulatory bodies
- ✓ Legal and enforcement professionals in regulatory institutions
- ✓ Advisors and consultants supporting public sector regulatory reform

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Learning Objectives

- ✓ Understand governance principles and models applicable to regulatory institutions
- ✓ Strengthen decision-making frameworks, delegation of authority, and accountability structures
- ✓ Apply risk-based governance concepts to regulatory supervision and oversight activities
- ✓ Develop governance policies, codes of conduct, and integrity frameworks for regulators
- ✓ Enhance compliance monitoring processes within regulatory organizations
- ✓ Improve transparency and reporting to stakeholders including government and the public
- ✓ Strengthen regulatory performance management using KPIs and governance dashboards
- ✓ Manage conflicts of interest and ethical risks in regulatory decision-making
- ✓ Support institutional governance improvement through continuous review and reform initiatives

Certificate in Governance for Regulators

Course Outline

✓ DAY 01

Governance Principles and Regulatory Governance Frameworks

- ✓ Role and mandate of regulatory institutions
- ✓ Core principles of governance in the regulatory context
- ✓ Governance models for independent regulatory bodies
- ✓ Board and executive oversight structures
- ✓ Balancing independence, accountability, and transparency
- ✓ International governance benchmarks for regulators

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Course Outline

✓ Day 02

Accountability, Decision-Making, and Ethics for Regulators

- ✓ Decision-making frameworks in regulatory environments
- ✓ Delegation of authority and accountability lines
- ✓ Codes of conduct and ethical governance
- ✓ Managing conflicts of interest
- ✓ Integrity systems and anti-corruption measures
- ✓ Documentation and defensibility of regulatory decisions

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Course Outline

✓ Day 03

Risk-Based Regulatory Oversight and Compliance Supervision

- ✓ Risk-based supervision concepts and methodologies
- ✓ Identifying and prioritizing regulatory risks
- ✓ Integrating governance with inspection and supervision activities
- ✓ Monitoring compliance and enforcement actions
- ✓ Using data and indicators for oversight decisions
- ✓ Reporting and escalation mechanisms

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Course Outline

✓ Day 04

Regulatory Performance Management, Transparency, and Reporting

- ✓ Defining performance objectives for regulators
- ✓ KPIs and performance dashboards for regulatory bodies
- ✓ Transparency and public reporting practices
- ✓ Stakeholder engagement and communication
- ✓ Parliamentary, ministerial, and public accountability
- ✓ Measuring regulatory effectiveness and impact

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Course Outline

✓ Day 05

Governance Implementation, Integrity Systems, and Continuous Improvement

- ✓ Implementing governance frameworks in regulatory institutions
- ✓ Internal control systems for regulators
- ✓ Governance self-assessments and maturity reviews
- ✓ Continuous improvement and reform initiatives
- ✓ Managing change in regulatory environments
- ✓ Case workshop: governance challenges in regulatory agencies

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Confirmed Sessions

FROM	TO	DURATION	FEES	LOCATION
Aug. 16, 2027	Aug. 20, 2027	5 days	4250.00 \$	UAE , Abu Dhabi

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